

KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

☐ Check here and attach additional sheets if necessary. _____ Number of sheets attached.

Please Include The Following Information For The Preceding Calendar Year:

Name Kimberly Poore Moser
 Business address 1098 Monmouth St. Newport, KY 41071
 Business telephone 859-547-1815
 Home address 3216 High Ridge Drive, Taylor Mill, KY 41015
 Title of public position, or office sought State Representative
 Other occupations of filer Director, NKY office of Drug Control Policy
 Occupations of spouse Physician

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation NKADD fiscal agent for NKY Office of Drug Control Policy (NKYODCP) - (resigned as contract employee effective 2/26/16).

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation _____

- a. Physician: St. Elizabeth Physicians
- b. Physician: Health South Hospital
- c. Physician: Comp Health
- d. NKU: Adjunct Professor

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more

- a. Halfway Commons, LLC: 6210 Doubletree Ln., Florence, KY 41042 (self and spouse)
- b. Greater Cincinnati Properties: 207 Thomas More Pkwy., Crestview Hills (spouse)
- c. Mediphasics, Inc (spouse): 3218 Millakin Rd., Burlington, KY 41005
- d. Stock & Mutual fund/Bond holdings: USB portfolio-
 - a. Apple, Inc.
 - b. Celgene Corp.
 - c. American Funds
 - d. Thornburg Intn'l

- e. Victory Sycamore Small Co. Opportunity Fund
- f. Trust Small Fund
- g. JP Morgan Core Bond Fund
- h. Loomis Sayles Investment
- i. Metropolitan West Total
- j. Templeton Global Bond
- k. Blackrock Global
- l. American Century
- m. Auxier Focus
- n. Wells Fargo

Sources and form of gross income of the filer (list sources by name)

- a. Northern KY Area Development District (NKADD: Fiscal agent for NKYODCP)
- b. Dividends & Capital Gains from Roth IRA
- c. Interest on Bank Savings: Fifth Third Bank

Sources and form of gross income of the filer's spouse (list sources by name)

- a. Summit Medical Group operating as St. Elizabeth Physicians
- b. Health South Hospital: Medical Director
- c. Consultant/Speaking engagements
- d. NKU: Adjunct Professor
- e. Dividends & capital gains from IRAs
- f. Interest on Bank Savings
- g. HSA employer contributions

Positions of a fiduciary nature in a business:

(2013-2015) Board Chair, KY Physician's Political Action Committee (self)

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children

- a. Residential: Lakeside Park, KY (self)
- b. Rural: Land in Grant County
- c. Rural: Land in Crittenden, KY

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.)

None

The name of any creditor owed more than \$10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes)

Fifth-Third Bank

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

None

The names of any of the filer's clients who are legislative agents or employers_____

None

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? ☐ Yes ☐ No ☒ Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

None.

State Agency

None

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

2/16/16

Date

Kimberly J. Moser

Filer

Send completed statements to: The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.